

Case Docket No. MICRON.098DV1

Date: May 12, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Basceri et al.

Appl. No.

09/971,955

Filed

October 4, 2001

For

HAZE-FREE BST FILMS

Examiner

Nguyen, C.

Group Art Unit:

2811

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

1450, on

June 2, 2004

(Date)

Lang J. McHardy, Reg. No. 50,591

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement. (X)
- (X) A PTO Form 1449 with Four (4) references.
- A check in the amount of \$180 to cover the above fee is enclosed. (X)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Lang J. McHardy Registration No. 50,591

Agent of Record

Customer No. 20,995

(805) 547-5580

Docket No.: MICRON.098DV1

INFORMATION DISCLOSURE STATEMENT

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2811

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 4 references that are also enclosed.

This Information Disclosure Statement is being filed after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of a Notice of Allowance under § 1.311. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410. A certification under 37 C.F.R. § 1.97(e) is set forth below.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

5/28/04

By: Lang J. McHardy

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. MICRON.098DV1

APPLICATION NO. 09/971,955

BY APPLICANT

APPLICANT Basceri et al.

FILING DATE October 4, 2001

GROUP 2811

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	6,285,051	09/04/01	Ueda et al.	257	296	
	2	6,339,527	01/15/02	Farooq et al.	361	305	

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
	3	WO95/25340	09/21/95	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
		Joo, "Fabrication and Characterization of MOCVD (Ba, Sr)TiO3 Thin Films for High Density Capacitors", Proceeding of 1997 5th International Conference an VLSI and CAD", The Secretariat of ICVC '97, 1997.

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